

01/08/2002

Express Mail Label No. EL715813742US

COPY OF PAPERS

ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tallis Y. Chang, et al. Serial No: Not Assigned

Filed:

Herewith

For:

TAP COUPLERS FOR FIBER OPTIC ARRAYS

BOX PATENT APPLICATION Commissioner for Patents Washington, D.C. 20231

Dear Sir:

į 🚣

ffi

CORRESPONDENCE ADDRESS:

000026021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above. 15 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed. 23 page(s) of specification, 31 claims, and 1 page(s) of abstract of the invention are enclosed. An assignment of the invention to Chromux Technologies, Inc. is enclosed will follow. Applicant claims small entity status. See 37 CFR 1.27. Declaration and Power of Attorney ⋈ is enclosed will follow. A certified copy of ___ Patent Application No. ___ filed ___ from which priority is claimed under 35 U.S.C. § 119 is

☑ IDS enclosed (☑ with 1 reference(s)).

Return postcard is enclosed

		CA	TON OF FEES					
	ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/S \$ ENTIT		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FE.E.	31	-20	_ 11	LG=\$18 SM=\$9	\$9	\$99	and the
3	INDEPENDENT CLAIMS FEE*	4	-3		LG=\$84 SM=\$42	\$42	\$42	
c	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$141	
D	LARGE ENTITY FEE = \$280						\$	
E	BASIC FEE SA40 BASIC FEE SMALL ENTITY FEE = \$370					\$370		
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$511		
G	ASSIGNMENT RECORDING FEE						\$ 40	\$40
	*LIST INDEPENDENT CLAIMS 1,	14, 21 and 25						

Please charge my Deposit Account No. 50-1314 the amount of \$_0_. A copy of this letter is enclosed.

A check in the amount of \$ 511 to cover the filing fee is enclosed.

A check in the amount of \$_40.00_ to cover Assignment Recordation fee is enclosed.

The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16

Any patent application processing fees under 37 C.F.R. 1.17

Date: November 6, 2001

500 South Grand Avenue, Suite 1900

Los Angeles, CA 90071

Telephone:

(213) 337-6700

Facsimile:

(213) 337-6701

Respectfully submitted,

HOGAN & HARTSON L

David H. Ben-Meir

Registration No. 46,152

Attorney for Applicant(s)

The PTO did not receive the following listed Items(s) page 21 in Specification

\\LA - 82874/11 - #121579 v1

26021

PATENT

Attorney Docket No. 81.374.0011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Art Unit:

Not Assigned

Tallis Y. Chang, et al.

Examiner:

Not Assigned

Serial No: Not Assigned

Filed: Herewith

For:

TAP COUPLERS FOR FIBER OPTIC

ARRAYS

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL "Express Mail" Mailing Label No. EL715813742US

Date of Deposit: November 6, 2001

Box PATENT APPLICATION

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

1= 0

[4] ١Ī

10

10

į.

(M a

į di

I hereby certify that

a letter of transmittal in duplicate

check in amount of \$ 511 as filing fee Ø

patent application (23 page(s) of specification; 31 claim(s); 1 page(s) of abstract \square

 \boxtimes 15 sheets of informal drawings

executed Declaration and Power of Attorney \boxtimes

Recordation Form Cover Letter, executed Assignment and a check in the amount of M

\$40.00 for recording fee

Transmittal of Information Disclosure Statement, Form PTO-1449 and a copy of 1 \boxtimes

reference

return postcard \boxtimes

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

> **Box PATENT APPLICATION Commissioner for Patents** Washington, D.C. 20231.

Date: November 6, 2001

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071 Telephone: 213-337-6700

Facsimile: 213-337-6701

Emily Cruz

Name-of person mailing papers

Signature

Express Mail Label No.: EL715813742US



DECLARATION and POWER CF ATTORNEY



PATENT (U.S.A.) ATTORNEY'S DOCKET NO.: 82874.0011

CITIZENSHIP

ORIGINAL CONTINUATION DIVISIONAL

□ DIVISIONAL	
As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor (if only one name is listed as 1 belo	w)
or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:	
TAP COUPLERS FOR FIBER OPTIC ARRAYS,	
the specification of which is attached hereto unless the following box is checked:	
was filed on as United States Application Number or PCT International Application Number	

and was amended on ______.

My residence, post office address and citizenship are as stated below next to my name.

I acknowledge my duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: PRIOR FOREIGN APPLICATION(S) PRIORITY CLAIMED UNDER DATE OF FILING 35 U.S.C. 119 COUNTRY APPLICATION NUMBER Month Day Year I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application. (Filing Date) (Application Serial No.) POWER OF ATTORNEY: As a named Inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Customer Number 000026021 ă O PATENT TRADEMARK OFFICE Hogan & Hartson L.L.P. **DIRECT TELEPHONE CALLS TO:** 000026021 Send correspondence to: William H. Wright 500 South Grand Avenue, Suite 1900 213-337-6700 Los Angeles, California 90071 (Please Print) ũ STATE or COUNTRY Residence: CITY Name of Inventor California Tallis Y. Chang CITIZENSHIP Post Office Address c/o Chromux Technologies USA 9255 Deering Avenue, Chatsworth, California 91311 Residence: CITY STATE or COUNTRY Name of Inventor La Crescenta California David B. Hall CITIZENSHIP Post Office Address c/o Chromux Technologies USA. 9255 Deering Avenue, Chatsworth, California 91311 Residence: CITY STATE or COUNTRY Name of Inventor Woodland Hills California Leo Lam 3 CITIZENSHIP Post Office Address c/o Chromux Technologies United Kingdom 9255 Deering Avenue, Chatsworth, California 91311 STATE or COUNTRY Residence: CITY Name of Inventor

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1	SIGNATURE OF INVENTOR 2 David B. Hall			
DATE (0[16]0]	DATE 10/26/01			
SIGNATURE OF INVENTOR 3	SIGNATURE OF INVENTOR 4			
DATE 16 26 01	DATE			

4

Post Office Address